

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

**Daniel BREEN, et al.**

Group Art Unit: 3691

Serial No.: 10/820,876

Examiner: SUBRAMANIAN, Narayanswamy

Filed: April 9, 2004

For: **SYSTEM AND METHOD FOR INTEGRATING A CONVERTIBLE SECURITY  
WITH A CALL SPREAD**

**FILED ELECTRONICALLY**

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Alexandria, VA 22314

**RESCISSION OF PREVIOUS NONPUBLICATION REQUEST**

Dear Sir:

On February 27, 2006, we submitted a Rescission of Previous Nonpublication Request. It has come to our attention that the pending application has not yet published and this Rescission was not processed. Submitted herewith is a copy of the Rescission as well as a facsimile confirmation of that submission. We respectfully request that the Rescission be processed immediately and the application be published.

Respectfully submitted,

Date: October 22, 2008  
KING & SPALDING LLP  
1700 Pennsylvania Ave., NW  
Suite 200  
Washington, DC 20006  
(202) 626-8980

By: /Eric L. Sophir, Reg. No. 48,499/  
Eric L. Sophir  
Registration No. 48,499

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**RESCISSION OF PREVIOUS  
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(35 U.S.C. 122(b)(2)(B)(ii))  
**AND, IF APPLICABLE,  
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(35 U.S.C. 122(b)(2)(B)(iii))

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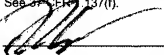
FAX: (703) 305-8568

Application Number	10/820,876
Filing Date	April 9, 2004
First Named Inventor	Daniel Breen
Title	SYSTEM AND METHOD FOR INTEGRATING A CONVERTIBLE SECURITY WITH A CALL SPREAD
Atty Docket Number	SSB0005
Art Unit	3624
Examiner	Subramanian, Narayanswamy

A request that the above-identified application not be published under 35 U.S.C. 122(b) (nonpublication request) was included with the above-identified application on filing pursuant to 35 U.S.C. 122(b)(2)(B)(i). I hereby rescind the previous nonpublication request.

If a notice of foreign or international filing is or will be required by 35 U.S.C. 122(b)(2)(B)(iii) and 37 CFR 1.213(c), I hereby provide such notice. This notice is being provided no later than forty-five (45) days after the date of such foreign or international filing. No foreign or international filing has occurred.

If a notice of subsequent foreign or international filing required by 35 U.S.C. 122(b)(2)(B)(iii) and 37 CFR 1.213(c) was not filed within forty-five (45) days after the date of filing of the foreign or international application, the application is **ABANDONED**, and a petition to revive under 37 CFR 1.137(b) is required. See 37 CFR 1.137(f).



Signature

February 27, 2006

Date

George T. Marcou

Typed or printed name

33,014

Registration Number, if  
applicable

(202) 508-5800

Telephone Number

**This request must be signed in compliance with 37 CFR 1.33(b).**

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I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop PG Pub, Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450, or facsimile transmitted to the U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PG Pub, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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This collection of information is required by 37 CFR 1.213(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 6 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PG Pub, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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